Characterizing Optical Transmission Through Sessile Water Droplets Undergoing Solidification

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Abstract

The current increase in the usage of solar panels in cold weather climates requires a comprehensive understanding of droplet freezing. Freezing dynamics of a water droplet resting on a hydrophobic surface is well understood but changes in optical transmission during this process have not received much attention. As the droplet freezes, its refractive index and the shape change simultaneously. Here, an attempt is made to characterize the change in optical transmission during the freeing process using a unique setup that allows for bidirectional imaging of a droplet as it undergoes freezing on coated glass slides. This allows for phase change dynamics to be decoupled from the change in droplet geometry. There is a 45% reduction in optical transmission during the freezing process, but this is primarily due to reflection and not attenuation. The droplet geometry is observed to influence a change in optical transmission due to changes in both reflection and attenuation. Common hydrophobic coatings are tested and their influence on the optical transmission is characterized. The results of this study provides new insight in the development of optically transparent ice/water repellent coatings for solar panels.

Keywords: Optical Transmission, Reflection, Albedo, Phase Change, Surface Wettability, Attenuation, Image Analysis, Solidification

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1 Introduction

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1.1 Research Motivation

Advancement in technology has led to an ever-increasing demand for energy generation, with the major sources of energy being fossil fuels [1, 2]. Burning these fossil fuels has led to an increase in global carbon dioxide emissions and other hazardous air pollutants. These pollutants give rise to many ailing health conditions, subsequently reducing the quality of life [3, 4]. An increasing number of residential areas, small businesses, institutions, and industries are switching to renewable energy sources, predominantly solar energy for their daily energy needs [5].

A major challenge lies in the usage of solar PV in cold climatic conditions [6]. 10 The buildup of fog, frost or snow occurs when the temperatures plummet, obstruct-11 ing the light falling on the photo-voltaic panels. This causes a drop in the efficiency 12 of photo-voltaic panels as much as 50% [7, 8, 9, 10]. Some studies report that par-13 tial covering of solar panels caused by ice and snow is actually more harmful than 14 the full covering [11, 12]. To address this, various types of expensive, niche coatings 15 have been developed including water-repellant, ice-phobic, and lubricant-infused sur-16 faces [13, 14, 15, 16, 17, 18, 19, 20]. Application of such coatings on solar panels 17 greatly improve water/ice phobicity but they also alter optical transmission and de-18 grade power output. Optically transparent coatings for adverse weather conditions 19 are scarce [21, 22]. These specialty coatings are proprietary, require specialized equip-20 ment, and are cost-prohibitive. They are out of the reach of the common man who must 21 rely on easily available and cost-friendly products for hydrophobicity or ice-phobicity. 22 Some of these coatings have durability issues [23, 24] which potentially can cause even-23 tual degradation in optical transmission when they wear off. Even superhydrophobic 24 surfaces deteriorate when exposed to continuous icing and de-icing cycles. In humid 25 weather, the performance of superhydrophobic surfaces is reduced due to condensa-26 tion between the surfaces leading to higher ice-adhesion strength [25]. Most prior 27 studies have focused on the water/ice-repellance factor and its tunability with surface 28

coatings. However, the change in optical transmission during freezing especially when
 different coatings are applied has been relatively unexplored. The main focus of the
 current study is to develop a methodology to characterize optical transmission through
 water droplets as they undergo solid-liquid phase change on glass slides coated with
 commercially available sprays (such as RainX and HydroView).

³⁴ 1.2 Water-Ice Phase Change and the Effect of Surface ³⁵ Coatings

Many studies have been conducted in the past to understand the freezing process and 36 the dependence of ice nucleation on the surface properties of the substrate such as wet-37 tability, surface roughness, and anti-soiling characteristics. Ice nucleation experiments 38 have found that the freezing dynamics are dependent not only on the thermodynamic 39 state (meteorological conditions) but also on the surrounding gases [26, 27]. Addi-40 tionally, the substrate's surface roughness and the fluid properties were also found to 41 influence ice nucleation rates on surfaces along with different wettabilities [28]. For 42 environments having relative humidity less than 100%, it was found that hydropho-43 bic, smooth, and thermally conductive surfaces are most efficient in delaying freezing 44 [29]. For environments having dissolved pollutants in the atmosphere like H_2SO_4 , ice 45 nucleation from microscale water droplets required a higher saturation rate at lower 46 temperatures [30]. Decreasing the water-surface contact area reduced the probability 47 of ice nucleation by diminishing the surface-water heat transfer [31, 32]. Fuller et al 48 [33] reported that the surface coatings with a higher surface roughness would lead to 49 a much longer freezing time. 50

Many investigations have been conducted to understand the impact of hydrophobicity of various surfaces on water-ice solidification. An experimental study of freezing of impinging water droplets on hydrophilic and hydrophobic surfaces showed that the surface wettability had a negligible effect on the contact angle of the frozen droplet [34]. Barker et al [35] observed that both hydrophilic and hydrophobic coatings showed

a reduction in snow accumulation over the solar panel. No significant change in power 56 production was observed when compared to uncoated solar panels. However, it was 57 noted that continuous icing and de-icing cycles reduce the effectiveness of the ice-58 phobicity of the material by a substantial amount [36]. Cox et al [37] developed a 59 numerical model to control the ice nucleation by tweaking the surface hydrophilicity. 60 To understand the wettability and roughness of different surfaces, a many-body dissi-61 pative particle dynamics (MDPD) study was conducted by Du et al [38]. They found 62 that once the surface exhibits superhydrophobicity, the surface roughness stops influ-63 encing the water-repellent property of the surface. They also reported that the contact 64 angle changed from the Wenzel state (where water completely wets the surface) to the 65 Cassie-Baxter State (liquid partially wets the surface, with air pockets present between 66 the liquid and surface), on increasing the hydrophobicity on a rough surface. In sum-67 mary, it is still unclear what kind of coating is needed to reduce snow accumulation 68 while simultaneously reducing the loss of optical transmission. 69

1.3 Effect of Surface Coatings on Optical Transmission through Water and Ice

Water is an optically translucent medium with a higher refractive index than air. So, a 72 sessile droplet of water will refract the light as it passes through it. This effect is used in 73 many applications and is commonly referred to as the lensing effect of the water [39, 40]. 74 During solid-liquid phase change, as the water droplet gradually turns into ice, its vol-75 ume increases leading to a change in the overall shape of the droplet [41]. Additionally, 76 due to the change in molecular geometry and volume, water and ice have different 77 refractive indices causing a change in optical transmission. The ice-water interface 78 reflects light (characterized by a reflection factor known as albedo) and consequently 79 results in a reduction of light transmission [42, 43, 44, 45]. David and Sheng [46] and 80 Stefan et al. [47] observed that the attenuation coefficient of water is a function of the 81 water quality. Each body of water has a different composition, which directly affects 82

the formation of ice [48, 49, 50]. Due to this the reported values of albedo (reflection 83 at the water-ice interface) and optical attenuation coefficient values are spread over a 84 wide range [51]. Studying the transmission dependence on spectral wavelengths, Jerlov 85 et al [52] and Gordon et al [53] found that short and long wavelengths (red and violet) 86 of the visible spectrum were observed to scatter and get absorbed over a much shorter 87 distance, while intermediary wavelengths such as green light, were found to penetrate 88 the most. Additionally, the particles mixed in water can scatter wavelengths up to 89 four times their diameter [54]. This has a direct effect on how different wavelengths 90 in the spectrum interacts with water and ice [55, 56, 42, 57, 58, 59]. Another major 91 factor that may lead to an increase in attenuation is the formation of gas bubbles. The 92 dissolved air within water gets trapped as bubbles during the process of liquid-solid 93 phase change [60, 61, 62]. 94

Jaiswal et al [63] found through their investigations that although hydrophobic 95 surfaces with high surface roughness repel ice, they do so at the cost of optical trans-96 mission. Superhydrophobic surfaces generally exhibit low optical transmission due to 97 both the nature of the coating and the curvature of the water droplet. An increase in 98 curvature increases reflection making the droplet appear very bright, but doesn't allow 99 much light to pass through [64, 65]. When the anti-soiling properties of hydrophobic 100 coatings were investigated, it was found that up to a certain period, they can keep 101 the surfaces clean, with minimal soiling, but as time went on, due to the accumulation 102 of insoluble particles, leading to cementation, the anti-soiling effects were drastically 103 reduced. This lead to a substantial drop in transmittance of the coatings and to main-104 tain the efficiency, the coatings need to be properly cleaned and reapplied every three 105 to four weeks [66, 67, 68]. 106

From the above discussion, it is evident that the current understanding of the change in optical properties of a water droplet undergoing liquid-solid phase change on coated substrates is lacking. There is limited data on the effectiveness of widely accessible common coatings that will likely be used by consumers to mitigate icing. The present study aims to bridge this gap by exploring if the reduction in optical transmission is governed by the evolving shape of the solidifying droplet or by the phase change of water into ice. An effort is made to characterize the performance of surface coatings with different hydrophobicity from both an optical and ice-phobic perspective. The change in optical transmission through a sessile water droplet is characterized while it undergoes solidification using a Beer-Lambert Law approach originally developed by Bellur et al [69].

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2 Methodology

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2.1 Experimental Design

To study the shape evolution of a sessile water droplet undergoing solidification, it needs to be viewed along the horizontal direction (i.e from the side) but to study light transmission through the droplet, it needs to be viewed in the vertical direction (i.e through the droplet). Therefore, a bidirectional imaging experimental setup (Figure 1) was developed for visualization of the freezing process. The setup is divided into three parts: the optical stage, the droplet deposition stage, and the cooling stage.

Optical stage consists of the bottom camera, the side camera, and the light source. The bottom camera is a DinoLite Premier Series Digital Microscope AM4113ZTL with 20x to 90x zoom to characterize the change in optical transmission through the droplet. The side camera is PixeLink PL-X9524 (HDR), attached to a Navitar 12x variable zoom lens. The zoom lens and the camera are connected using a c-mount extension tube. The light source (60W warm LED) is placed at the focal point of a parabolic reflector and then passed through a diffuser.

Droplet deposition stage comprises a flat-tipped 22 gauge needle attached to a 500 µL threaded plunger from Hamilton Company. The plunger is initially positioned over the substrate for deposition and removed right after using a retractable arm. The distance at which the droplet is deposited from the side camera is kept constant for

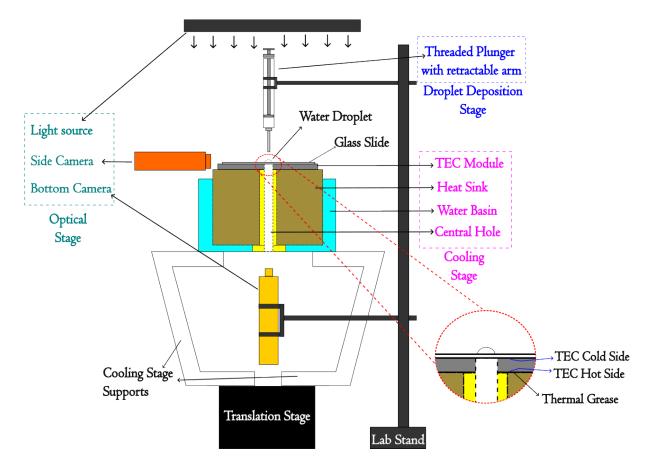


Figure 1: Schematic diagram of the experimental setup, with the optical stage, cooling stage, and the droplet deposition stage and inset figure depicting the cooling stage parts right under the droplet.

each droplet deposition. This enables simultaneous imaging from both the cameras throughout the deposition and freezing process.

Cooling stage rests entirely on top of an XYZ translation stage. The test substrate 139 (glass slide) rests on a TE Technology thermoelectric cooler (TEC) with a central hole, 140 connected to a variable power supply. The cold side of the TEC module faces up, 141 while the hot side is glued to an aluminum heatsink using thermal grease as depicted 142 in figure 1. The heat sink rests in a water basin, which is 3D-printed using ABS 143 and post-processed using acetone vapor smoothening. This entire setup rests upon a 144 translation stage using 3D-printed supports. The translation stage is used to move 145 the deposited droplet into the focus of both the cameras and helps facilitate smoother 146 droplet deposition. The glass slide is coated with Indium Tin Oxide (ITO) on the 147

bottom. Due to its high thermal conductivity, the ITO coating enables faster/better 148 heat transport when placed on the TEC. ITO is of particular interest because it is both 149 conductive and optically transparent. The wettability can also be tuned depending on 150 various treatments during the coating process [70, 71]. The ITO film was sputter-151 coated coating using a Denton Discovery 24. The coating thickness for the ITO is 152 approximately 100 nm and was found to be slightly hydrophobic with a contact angle 153 around 105 $^{\circ}$ (figure 10). The top of the glass slide was either kept bare or coated with 154 either RainX or HydroView (commonly available hydrophobic coatings in the market 155 for car windshields and other glasses) as per factory instructions. 156

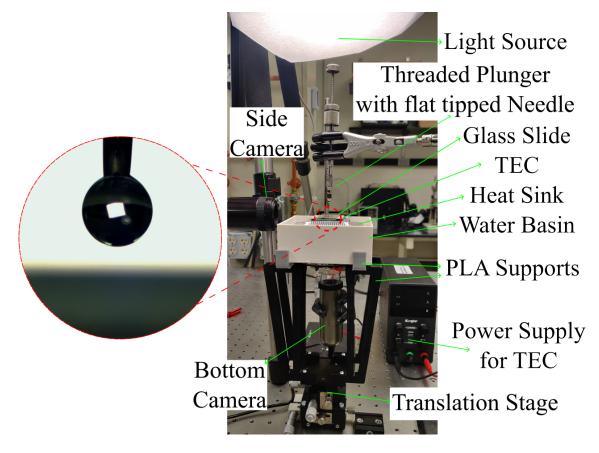


Figure 2: Experimental setup, showing the optical stage, droplet deposition stage and cooling stage with the inset figure showcasing the hanging droplet from the needle tip, over the glass slide during the droplet deposition process.

¹⁵⁷ Before a test is run, the reference image from the bottom camera is taken to char-¹⁵⁸ acterize the background light intensity of the light from the surroundings (referred to

as the background image). Then the light source is turned on and a picture of the light 159 source is taken from the bottom camera, to quantify the intensity of the light source 160 (referred to as the reference image). 161

To deposit a droplet, the threaded plunger is slightly rotated, so a hanging droplet 162 forms at the tip of the needle. Then the glass slide is gently brought up by turning the 163 translation stage's Z direction gauge (figure 2). The slide is brought up to the height 164 where it gently touches the droplet. The droplet, still hanging from the tip of the needle, 165 spreads slightly over the glass surface. Next, the glass slide is gently lowered at which 166 point the droplet detaches from the needle and rests on the glass slide. The droplet 167 is then brought into the focus of both cameras with minor adjustments (if needed) 168 and thereafter the focus remains unchanged for the entire duration of the experiment. 169 The threaded plunger is retracted from its position, so it no longer obstructs the light 170 source. The pixel pitch is characterized using a resolution target. The frame rate for 171 the bottom and side cameras was set at 30 frames per second. 172

To begin the experiment, the water basin is filled with regular tap water. Droplets 173 of de-ionized water, of volume $2\,\mu\text{L}$ to $5\,\mu\text{L}$ were used for the experiments. The power 174 supply is set at 20W before the droplet deposition. A droplet (at room temperature 175 $22^{\circ}C - 24^{\circ}C$) is deposited according to the steps mentioned above. Following this, 176 the threaded plunger is retracted, and the glass slide is lowered till the droplet comes 177 into focus of the bottom camera by carefully turning the screw gauges of the XYZ 178 translation stage. The entire deposition process takes approximately 15s. At this 179 point, recording is started on both the cameras and the power to the TEC module is 180 increased to 34W. The system's temperature is noted using a handheld FLIR IR camera 181 E60. The coldest noted temperature on the cold side was -26° C, while the hot side was 182 at 33° C. At the central point on the glass slide where the droplet was deposited, the 183 temperature was -9.8° C. The closer the temperature is to 0° C, the longer the wait time 184 for droplet freezing. Long wait times inevitably introduce undesirable dew formation 185 and droplet evaporation. On the other hand, ultra-low substrate temperatures create 186 thermal perturbations and higher spatio-temporal gradients. Setting the glass slide 187

temperature to -9.8°C was the best compromise to minimize the thermal perturbation without the detriments of a long freezing time. Once the droplet freezes completely, the power supply is turned off and the cameras stop recording. The glass slide is then removed and air dried. A wait time of around 15 minutes is prescribed to let the water in the basin cool down before the process can be repeated.

The cameras are set to record till the entire droplet is frozen. The duration of the 193 freezing process is reported in Table 1. The droplet on Rainx coating almost takes 194 seven minutes to freeze and the process of phase change from start to finish takes more 195 than a minute. The solidification process takes longer to start on ITO compared to the 196 uncoated glass slide, despite it being a conductive coating. This is because ITO displays 197 slight hydrophobicity with the average contact angle being 95° . Whereas, the droplet 198 on Hydroview, despite having a similar contact angle to ITO, displays much delayed 199 freezing time compared to ITO, since Hydroview is non-conductive. These results show 200 that both surface wettability and conductivity can influence ice-nucleation delay. 201

Coatings	Average duration for droplet solidification
No Coating	6s
RainX	72s
HydroView	12s
ITO	9s

Table 1: Reported time taken for freezing on different coatings and uncoated surface taken over 5 readings

202 2.2 Image Processing

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The images obtained from the bottom camera are processed to study the change in optical transmission as the water freezes. The images obtained from the side camera are used to visualize the evolution of the droplet shape so that it can be coupled to the optical transmission through post-processing.

²⁰⁷ Characterization of the optical transmission is done using the Beer-Lambert Law ²⁰⁸ where the intensity of the light passing through an optical medium exponentially re-²⁰⁹ duces with distance d and is dependent on the material's attenuation coefficient. It is

modified to account for the amount of light that gets reflected from the surface of the 210 optical medium by: 211

$$I = (I_0 - I_R)e^{-\mu d},$$
 (1)

where I_O is the intensity of the incident light, I_R is the intensity of the light reflected 212 from the surface of the optical medium, μ is the attenuation coefficient of the medium, 213 d is the thickness of the medium through which the light passes, and I is the intensity 214 of the light exiting the medium. 215

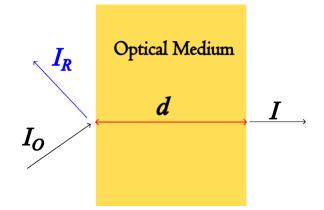


Figure 3: Optical transmission through an optical medium, where I_O is the incident intensity falling on the optical medium, I_R is the intensity of the light that gets reflected from at the surface of the optical medium, d is the thickness of the optical medium, and I is the intensity of the resultant light that exists the medium.

Transmission can be computed using two methods: (1) using the modified Beer-216 Lambert law which accounts for reflection, equation 1, along with the side camera 217 droplet profile, and (2) using bottom camera images. The second method characterizes 218 the transmission obtained experimentally and does not account for reflection. 219 Using the images obtained from the bottom camera, the experimentally obtained 220 transmission $(T_{Experiment})$ can be computed [69]:

$$T_{Experiment} = \frac{I_{Test} - I_B}{I_{Reference} - I_B} \tag{2}$$

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where I_{Test} is the bottom camera pixel intensity obtained as the droplet undergoes

freezing, $I_{Reference}$ is the pixel intensity of the reference image containing the light 223 source without droplet and I_B is the background image without either the light source 224 or the droplet. I_{Test} is obtained from the image frames obtained from the bottom 225 camera as the droplet undergoes freezing, $I_{Reference}$ and I_B are obtained from the 226 reference and background images (that were taken before the start of the experiment) 227 respectively. The pixel intensity values from the bottom camera are extracted by 228 performing a line scan along the diameter of the droplet and rotating it 2000 times 229 by 0.18 degrees. Pixel intensities in these multiple-line scans are extracted and then 230 averaged to obtain intensity along the diameter of the droplet (figure 4). The obtained 231 average pixel intensity of the light source, $I_{Reference}$, is 240 ±3 and the average pixel 232 intensity for the background noise, I_B , is 7 ±2. 233

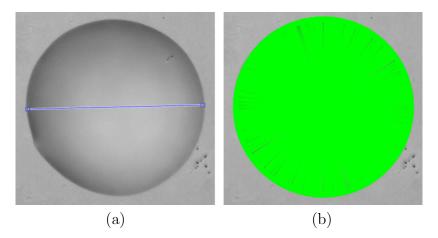


Figure 4: (a) Linescan done along the diametric length of the droplet (b) 2000 rotations of the line scans, done to extract the pixel intensities, and averaged out to obtain the pixel intensity along the diametric length.

234	To make the comparison easier, the length of the line is normalized by the diameter
235	of the droplets (D_N) . Then the area under the transmission curve (figure 5) at each
236	normalized instance of freezing time (t_N) of the freezing process is calculated to ob-
237	tain the area-averaged transmission, T_A . The effect of the surface coatings on optical
238	transmission is quantified by analyzing the spatiotemporally varying T_A data.
239	The droplet profile at different instances of freezing is extracted by first filtering the
240	frames through a binary filter. Only the pixel intensities less than a threshold value

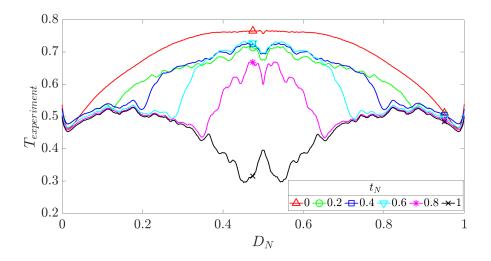


Figure 5: Optical transmission across the diameter of the droplet.

are recorded as 1 and the rest as 0. The filtered data is then run through a Canny edge detection algorithm and a Region of Interest (ROI) mask to obtain the droplet profile. The extracted shape profile and the original frame are then overlayed on one another, and correlated to obtain the location of the freeze front. Thus the height of the ice layer is obtained at the instantaneous freezing time. This is used to track the growth of the freeze-front as the droplet solidifies (figure 6).

The obtained height of the droplet and freeze-front location is used to calculate the theoretical transmission, $T_{Theoretical}$. This method also accounts for the intensity of the reflected light and the equation 1 is re-written as,

$$T_{Theoretical} = (1 - A_I)e^{-\mu d} \tag{3}$$

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Where A_I is the albedo or the amount of reflection occurring at the interface. For Albedo = 1 all of the incident light is reflected and for a surface with Albedo = 0 all of the incident light is either transmitted through it or absorbed.

Three distinct interfaces exist as the droplet undergoes solidification, the air-water interface, the air-ice interface, and the water-ice interface (figure 6). The albedo at each interface is anticipated to be different. Using equation 3 the transmission at each medium can be computed and then added together to obtain total theoretical

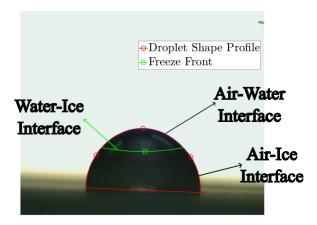


Figure 6: Extracted droplet shape profile and the freeze front overlayed on the original image.

transmission. Since accurate values for albedo are not available, these become tuning
 parameters to fit theoretical transmission from equation 3 to experimentally measured
 transmission.

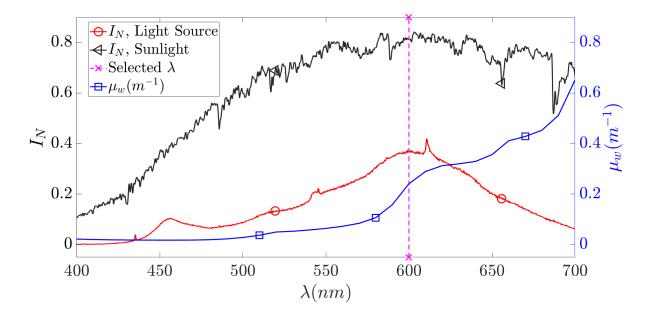


Figure 7: Wavelength dependency of the attenuation coefficient of water (μ_w) [56] plotted against spectral intensity, I_N of the light source and sunlight to determine the wavelength having the most Intensity (by area-weighted averaging) and thereby its influence on optical attenuation through water.

To account for the dependency of the optical properties of water and ice on the spectral wavelength, the wavelength of the light source is characterized using a Thorlabs

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²⁶² CCS200 spectrometer. It is observed from figure 7 that at a wavelength of 600 nm ²⁶³ emitted by the light source, the intensity is highest, which also corresponds to peak ²⁶⁴ values of intensity in natural solar radiation. Therefore optical properties of water and ²⁶⁵ ice are considered at 600 nm and a constant attenuation coefficient, μ_w value at this ²⁶⁶ wavelength is considered in the rest of this study.

²⁶⁷ **3** Results

Figures 8 and 9 show images from both the bottom and side cameras for an uncoated 268 substrate and an ITO coated substrate respectively. It is evident that: (1) the freezing 269 leads to a reduction in optical transmission through the droplet as evidenced by the 270 darkening of the bottom camera images and (2) the freezing process changes the drop 271 shape as seen in the side camera images. The black spots observed in the images from 272 the bottom view in figure 8 are air bubbles that get trapped as the water freezes to 273 become ice. These spots are more prominent on hydrophilic substrates due to their 274 larger surface area and consequently increased heat transfer. If the rate at which the 275 ice layer grows is faster than the rate at which the air can diffuse, air gets trapped as 276 bubbles. The air bubbles play a vital role in attenuating the light as it passes through 277 the droplet. Additionally, striations are visible at the center of the freezing droplet, 278 where water is present over the freezing front. These striations are attributed to the 279 migration of trace gas bubbles [72] and other spontaneous surface irregularities at the 280 evolving water-ice interface. As the droplet freezes completely, the striations disappear, 281 suggesting that most of these striations are momentary and occur only at the freeze-282 front layer between water and ice. They may be the cause of scattering reflection at 283 the water-ice interface which also has been discussed in the past by Brown et al [73]. 284

The lensing effect of the water droplet is visible at $t_N = 0$, where the outer edges of the droplet are much darker compared to the central bright spot. And as freezing progresses, the central bright spot becomes progressively darker due to the development of trapped air bubbles. As the water droplet has the maximum height at the center,

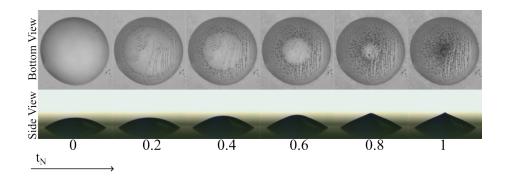


Figure 8: Droplet solidification imaged from the bottom and side on an uncoated substrate, shown at normalized timesteps.

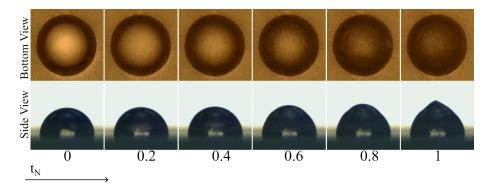


Figure 9: Droplet solidification imaged from the bottom and side on ITO-coated glass substrate, shown at normalized timesteps.

therefore it has the highest concentration of trapped air bubbles. As it is observed the cluster of the trapped air bubbles forms a dense dark spot, which leads to a sudden drop in transmission as the droplet ends up freezing, which is also noticeable in figure 8.

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3.1 Contact Angle Analysis

The contact angle for the deposited droplet is calculated from the frames obtained from the side camera. The contact angle is calculated by using the Low Bond-Axisymmetric Drop Shape Analysis algorithm in ImageJ [74]. The contact angle values are averaged over 5 readings (figure 10). It is noted that all three of our coatings display hydrophobicity and RainX has the highest contact angle. It is observed that ITO coating is more hydrophobic than HydroView, which is a market-available coating meant for regular everyday use. The uncoated glass slide shows a moderate hydrophilic nature characteristic of most clean glass surfaces, with a contact angle of 45° .

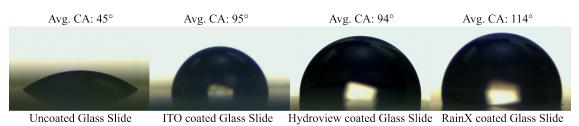


Figure 10: Deposited droplet on surfaces of different wettabilities.

The freezing process did not seem to affect the contact angle of the droplets. Since 302 the freezing process on a hydrophobic surface takes a longer time, this leads to addi-303 tional dew condensation and subsequent frost halo formation in the regions surrounding 304 the droplet [75]. This frost halo leads to an error in contact angle measurement $(\pm 5^{\circ})$ 305 in the later stages of freezing and makes the contact line of the droplet difficult to 306 detect. The contact angle in the later stages of freezing is obtained by estimating and 307 matching the curvature of the droplet. 308

3.2Effect of Surface Coatings 309

Experimental optical transmission $(T_{experimental})$ was calculated from the bottom cam-310 era images, using the method described in the image processing section. The trans-311 mission profile is integrated over the entire droplet to develop an average transmission 312 metric (T_A) . The resulting data are shown in figure 11 where t_N is the normalized 313 freezing time for each dataset. $t_N = 0$ indicates start of freezing and $t_N = 1$ indicates 314 end of freezing. 315

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A general trend of decrease in transmittance behavior is observed as the droplet freezes over both coated and uncoated substrates. As soon as freezing commences, the 317 transmittance reduces instantly but there is little to no change in shape in the earlier 318 stages of freezing (figure 8). This behavior of the transmittance curve is inferred to 319 be caused by the change in the material properties, as water solidifies to become ice 320 at the base region near the substrate. At the later stage of the freezing process, the 321

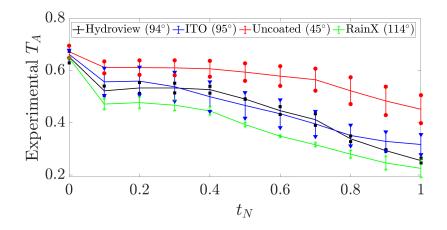


Figure 11: Evolution of area-averaged transmittance on different coatings as phase change occurs

change in droplet shape causes a further decrease at around $t_N = 0.7$ due to a change in reflection at the water-air interface and additional attenuation.

The uncoated glass substrates (avg. CA 45°) offer a higher transmittance than the substrates that were coated with hydrophobic coatings. The RainX coating (avg. CA 114°) has the least transmission than all the other cases studied. The bulk transmission for ITO (avg. CA 95°) and Hydroview (avg. CA 94°) coatings are very similar. In general, a decrease in overall transmission is observed as the contact angle increases. This is clear evidence that although superhydrophobic coatings may offer excellent water/ice repelling qualities but they are detrimental to optical transmission.

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3.3 Freeze Front Motion

The raw pixel intensities from the bottom camera for both uncoated and ITO coated 332 substrates are shown in figure 12 across the normalized diameter (D_N) . The center of 333 the droplet is at $D_N = 0.5$. In both cases, the intensity gradually reduces as the droplet 334 freezes. The uncoated substrate exhibits a low contact angle where the droplet is spread 335 over a larger area with reduced height. In this case, the transmission contribution from 336 the change in optical properties is much larger than the attenuation contribution. As 337 a result, it is possible to locate the freeze front as a distinct minima in the pixel in 338 intensities (vertical dotted lines in figure 12(a)). As the droplet freezes, this freeze front 339

moves inward to the center of the drop reducing transmission due to higher albedo and attenuation of ice. In the case of a hydrophobic surface such as ITO (figure 12(b)) it is not possible to locate this freeze front from the bottom camera due to increased droplet height resulting in higher attenuation and reflection loss. The transmission is calculated using equation 3 with inputs from image data as described in section 2.2.

The lensing effect of water droplets mentioned earlier is also seen in figure 12(b) where the edges have lower pixel intensities compared to the center. At the center of the droplet, the pixel intensity reduces sharply toward the end of freezing due to the emergence of the conical tip. This reduction is due to an increase in reflection and attenuation at the ice tip.

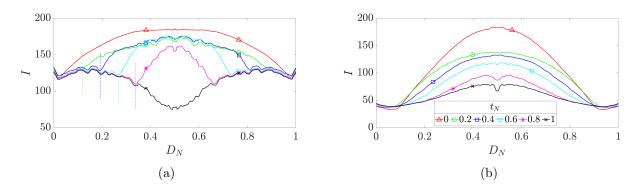


Figure 12: Change in pixel intensity through the droplet on an (a) uncoated glass slide with dashed lines pointing to the position of the freeze-front as it moves inwards and, (b) ITO Coating, shown at different instances of normalized freezing time. The vertical dotted lines in (a) show the location of the freeze front.

The images taken from the side camera to track the droplet shape evolution (figure 350 8) show that during the first 60-70% of freezing, the droplet shape is mostly unchanged. 351 It is not until the freeze front moves up a substantial height that a bulge appears on top 352 caused by the expanding volume of ice. This pushes the water higher until the entirety 353 of the water freezes and a conical tip is formed despite of the original drop shape and 354 the contact angle. The sharp decline in transmission towards the end of freezing in 355 figure 12(a) is likely due to: (1) an increase in attenuation due to an increase in the 356 path length of light, (2) an abrupt change in optical properties from water to ice and 357 (3) change in reflection since the conical ice tip is more reflective than a water dome. 358

Coatings	A_w	$\mu_w(m^{-1})$	A_{wi}	A_i	$\mu_i(m^{-1})$
Uncoated Glass	0.19	0.15	0.22	0.4	4
RainX	0.31	0.15	0.6	0.7	4
ITO	0.24	0.15	0.26	0.7	4
Hydroview	0.27	0.15	0.35	0.7	4

Table 2: Optical properties water and ice [55, 76, 59]

Figure 13a shows droplet shape and freeze front evolution along the normalized 359 diameter for different substrates. The shape evolution data is subsequently used to calculate the theoretical transmission using equation 3 based on optical properties shown in table 2. The albedo at the water-ice interface (A_{wi}) is not well reported in 362 literature likely due to the existence of different forms of ice and diffuse reflections at 363 the ice-water interface. Here A_{wi} is assumed to be a value between albedo for water 364 (A_w) and albedo for ice (A_i) and then tuned to match experimental data. The results 365 are depicted in figure 13b. Each row of images in figure 13 represents the comple-366 mentary shape evolution and transmission for different substrates. The deviations in 367 experimental data are likely due to the presence of air bubbles. Although degassed DI 368 water was used, air bubbles could not be avoided completely during the rapid freezing 369 process. It was found that due to the incredibly small droplet height, μ has a negligible 370 effect on optical transmission and the governing parameter for the reduction in optical 371 transmission is the reflection. Therefore only the values of albedo at the interface were 372 varied while keeping the μ values constant (table 2). 373

Similar imaging results are observed for other coatings as well. One key observa-374 tion is that in the first instance of the freezing process, the experimental transmis-375 sion dips sharply, but the theoretical transmission does not. This is because a super-376 cooled droplet undergoes a recalescence stage where the droplet surface crystallizes 377 rapidly. This seemingly instantaneous event precedes the solidification process of the 378 bulk droplet, which freezes gradually [77]. Therefore, a sudden drop in transmission 379 is observed in the beginning characterized by phase change in a small timescale. This 380 leads to higher surface albedo properties but is anticipated to be lower than the albedo 381 of ice. It is likely that the reflection value is constantly changing during the initial 382

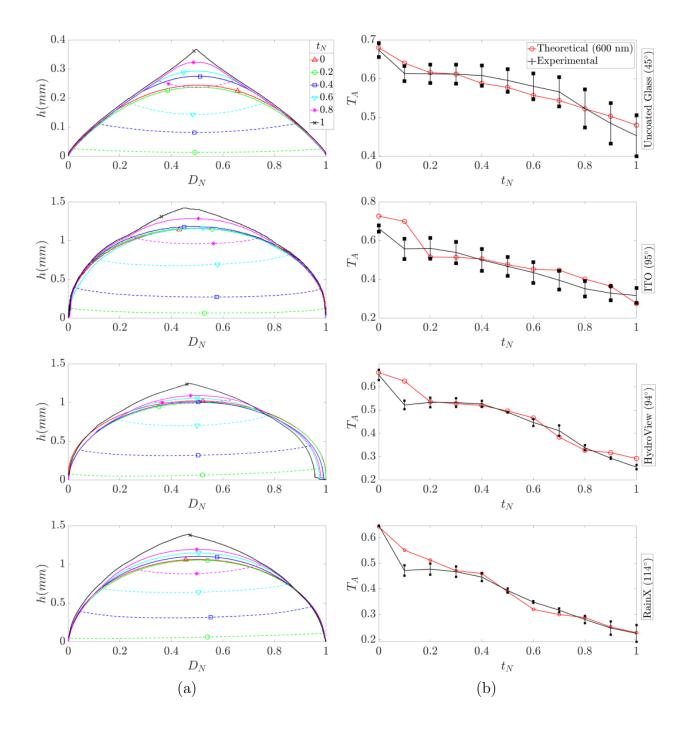


Figure 13: Each row of the panel represents data from substrates with different coatings. Left column (a) shows evolution of the droplet shape (solid line) with freeze front tracking (dashed line) and right column (b) compares the theoretically calculated evolution of bulk transmission to experimentally obtained bulk transmission evolution.

stages of freezing but is not captured here in the theoretical model which assumes a

384 constant value of albedo.

385 4 Summary and Conclusions

The present study shows that the reduction in optical transmission during freezing 386 of water droplets smaller than the capillary length is governed by a combination of 387 attenuation, reflection and the change in droplet geometry caused by the expansion 388 of ice. The attenuation coefficient of ice is large compared to water but this does not 389 solely dominate in large change in optical transmission for the size of droplets tested. 390 This is in contrast to other studies which were done on large water bodies, where 391 the change in phase properties governs the drop in optical transmission. The largest 392 contribution to the drop in transmission appears to be reflection at the ice-air surface 393 and this has not been characterized well due to the various crystalline structures of ice. 394 The application of coatings decrease the transmission. In general, higher the contact 395 angle, lower is the transmission but also result in a slow rate of freezing. High contact 396 angle hydrophobic coatings are commonly used for water ice-repellancy but they must 397 be used with caution because they are detrimental to optical transmission. Unless 398 there is research on optically transparent hydrophobic coatings, frost management 399 on cold weather panels will be a trade-off between ice/water repellancy and optical 400 transmission. 401

A theoretical transmission model is developed based on a modified Beer-Lambert 402 approach accounting for reflection. There is uncertainty of albedo values at the ice-403 water interface, likely due to the different crystalline structures of ice and diffused 404 reflections. Here, the albedo value for the ice-water interface is assumed to be between 405 that of pure ice and pure water and tuned to match experimental data. Good agreement 406 is achieved for reasonable values of albedo. The model suggests that it is the reflection, 407 rather than attenuation that dominates the transmission loss. However, this is further 408 influenced by the change in droplet shape. The results of the current study provide 409 invaluable insight as new optically transparent ice-phobic coatings are developed in the 410 future. 411

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770 6 Appendix

	Table 3: List of Symbols and Notations
Notation	Physical Quantity
I	Resultant Pixel Intensity
I_O	Incidental Intensity
μ	Attenuation Coefficient of an Optical Medium (m^{-1})
d	Thickness of an Optical medium
T	Transmittance
t_N	Normalized Time
CA	Contact Angle (°)
μ_w	Optical attenuation Coefficient of Water (m^{-1})
A_{wi}	Albedo at Water-Ice Interface
A_w	Albedo of Water
A_i	Albedo of Ice
μ_i	Optical attenuation Coefficient of Ice (m^{-1})
I_N	Normalized Intensity
λ	Wavelength (nm)
T_A	Area under Transmission curve or the Bulk Transmission
D_N	Normalized Diameteric Length